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<td>Chair Opening Remarks</td>
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<td>2.</td>
<td>Roll Call</td>
<td>Angie Turbeville</td>
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<td>3.</td>
<td>Welcome</td>
<td>Pokey Harris</td>
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<td>4.</td>
<td>Approval of January Minutes – <em>Roll Call Vote</em></td>
<td>Angie Turbeville</td>
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<td>5.</td>
<td>Legislative Review</td>
<td>Richard Bradford</td>
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<td>6.</td>
<td>ESInet Update</td>
<td>Gerry Means</td>
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<td>Information Aids/Fact Sheets</td>
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<td>Recruitment Public Service Announcement</td>
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<td>9.</td>
<td>Adjourn</td>
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Next Meeting - Thursday, April 22 at 10am
Agenda

1. Chair Opening Remarks – Mr. Greene kicked off the meeting welcoming the group.

2. Roll Call – Mr. Greene asked Ms. Turbeville to conduct the roll call.

3. Executive Director Opening Remarks – Ms. Harris welcomed the committee members and guests by wishing everyone a Happy New Year. Ms. Harris gave a brief update on the ESInet implementation; stating Stokes County 911 went live; currently there are 65 PSAPs live on the ESInet and 100 physical PSAPs to include backup centers. She stated the Education Committee goals presented to the board at the December meeting are very much align with the over arching Board goals; she is excited to see the work that will come out of this
committee in the upcoming year. Additionally, Ms. Harris shared that it was time to start the work on the 911 Board’s State Plan.

4. Approval of November Minutes – Mr. Greene asked committee members if they had reviewed the minutes and if anyone had any suggested edits or needed changes, if not he asked for a motion to approve the minutes. Ms. Wright made a motion to approve and Mr. Gusa seconded the motion. The minutes were approved.

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5. Legislative Review – Mr. Bradford provided committee members with a legislative update regarding the Consolidated Appropriations Act, 2021, HR 133, (“Cares Act II”) text of 47 USC 615a-1. See agenda packet for handout. The Board will be making official comments soon.

6. ESInet Update – Mr. Means shared with committee a brief update on the ESInet and Statewide GIS projects. Mr. Means suggested a joint project between the Education Committee and Technology Committee to assist the PSAPs with COOP planning and training regarding alt routing, abandonment, and policy rules. Mr. Means stated that currently 40 PSAPs had completed their cybersecurity assessment and 80 projects were in process. He suggested and felt there was a need for consistent cybersecurity training for the PSAP as related to the NG911 project.

7. Information Aids/Fact Sheets – Gerry Means reviewed the ESInet document with the committee. There were several comments and suggestions for the document: Ms. Turbeville requested to define the acronyms; Mr. Bradford suggested providing reference information for readers to learn more than a one-page document could provide; Mr. Stewart asked to add the NC 911 Board logo to the document; discussed splitting the document into two handouts but with information to explain each document; Ms. Harris suggested the information should be in layman’s terms instead of a technology document. Mr. Means will work on the next draft to bring back to committee.

8. Richmond Community College: PSAP Managers Class Update – Ms. Wright shared that the PSAP Manager’s Class has been a great success. Currently they are accepting applications for the next class to start March 16. In 2020, they added a pre-requisite for the student to provide their job responsibilities to assist with registration. Prior to 2020, many of the applicants were line level telecommunicators with minimum years of service. The class level is for supervisors and managers. Ms. Gardner shared that they have had great success with adding the job responsibility pre-requisite lowering the drop out rate tremendously. Ms. Harris stated the last graduating class will be recognized at an upcoming board meeting. Ms. Joyner asked if a supervisor class could be built. Mr. Greene thought this would be an excellent topic to discuss as we move into committee goals for the next year.

9. Training Class Eligibility
   1. Cumberland County – “Priority Dispatch 2-Day Refresher Class for EMD, EFD and EPD”
      Ms. Turbeville gave a brief review of the training request put forward. Staff recommendation was to approve. Mr. Greene asked for any discussion, hearing none a motion was asked for. Ms. Wright made a motion to approve and Mr. Gusa seconded the motion. The motion carried.
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2. Hoke County – “Priority Dispatch Online Universal Telecommunications Essentials (OUTEC)”
Ms. Turbeville gave a brief review of the training request put forward. Staff recommendation was to approve. Mr. Greene asked for any discussion, hearing none a motion was asked for. Mr. Gusa made a motion to approve and Ms. Anderson seconded the motion. Mr. Stewart abstained from the vote. The motion carried.

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3. Pasquotank/Camden 911 – “FBI Basic Crisis Negotiation Course”
Ms. Turbeville gave a brief review of the training request put forward. The class registration is free. The PSAP was requesting the class to be considered so the per diem would be an eligible expense. Staff recommendation was to not approve. Mr. Greene asked for any discussion, hearing none a motion was asked for. Ms. Wright made a motion to not approve and Mr. Gusa seconded the motion. The motion carried.

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Ms. Turbeville gave a brief review of the training request put forward. Staff recommendation was to approve. Mr. Greene asked for any discussion. There was much discussion regarding pre-requisites and amount of content in the course. Ms. Harris stated to committee the mission for committee is to decide eligibility not to critique the course. Mr. Greene asked for a motion from the committee. Ms. Wright made a motion to approve the course. Ms. Joyner seconded the motion. The motion carried.
10. 2021 Goals Next Steps – Mr. Greene led the discussion with committee for each goal.

**Goal 1** - Develop an information sharing program to effectively communicate the Board and Staff’s vision, initiatives, and responsibilities for NG911 and other state projects.

*Committee Discussion* - Ms. Turbeville provided a brief update; the first information aid about the NC 911 Board had been updated by DIT Comms due to internal staffing changes. Ms. Harris shared with the group the need for NMAC PR campaign; staff will be creating a NMAC logo to be used for mouse pads, chip clips, sticky notes for example to assist with this.

**Goal 2** - Explore the feasibility of expanding Board sponsored training in conjunction with the Community College system.

*Committee Discussion* – Ms. Joyner brought up a supervisor’s course to be facilitated through the community college system possibly Richmond Community College. Mr. Stewart is very excited and a proponent of the community college system; he would like more PSAPs to take advantage of the 3rd party contract. Ms. Wright shared with the committee that the Sandhills Center is interested in partnering with the Board regarding CIT 911 virtual training and a possibly creating a CISM program for telecommunicators. Mr. Greene asked staff to coordinate a representative to come before committee at the next meeting for further discussion.

**Goal 3** - Explore the feasibility of creating a voluntary Telecommunicator training, certificate and/or certification program in collaboration with the Community College system.

*Committee Discussion* – Mr. Greene asked staff to begin conversation with the NC Community College System to discuss an advanced telecommunicator certifications or certificate program. Ms. Wright asked the committee exactly what the goal is and gave caution, we did not want to compete with the NC Sherriff’s Training and Standards programs. Ms. Harris encouraged conversation with the Community College System.

**Goal 4** - Explore methods for public outreach utilizing nontraditional media outlets.

*Committee Discussion* – Ms. Harris shared she had been in contact with her colleague in Washington state. They had created a PSA that aired during a 911 TV show. Ms. Harris and Ms. Turbeville will be having a conference call with them to discuss this further. They are hopeful that the script and the video can be shared with committee at the next meeting. Ms. Harris also discussed the possibility of using public radio to assist with a PSA for recruitment.

11. Adjourn – Mr. Greene asked if there was any other business to bring before committee; hearing none the meeting was adjourned at 11:40am.

**Next Committee Meeting: Thursday, February 18, 2021**
FCC NPRM 911 Fee Diversion: FCC Proposes Rules To Address 911 Fee Diversion | Federal Communications Commission

Overview: FCC met on 2/17/21 to consider a draft NPRM. It was unanimously approved and released. Publication in the Federal Register triggers a 20-day public comment period, a 30 day reply comment period follows.

Purpose: to implement new rules to eliminate 911 fee diversion. Rules are to be implemented within 180 days of the legislation, i.e., 6/25/21.

Problem: “All 12 of the annual reports issued to date have identified some states that have diverted 911 fees to other uses.” NPRM @ ¶3, FCC most recently identified 5 states: NY, RI, NV, NJ, WV in its NOI released 10/2/20, PS Docket 20-291.

Definition of Diversion @ NPRM Appendix A, §9.22 Diversion. The obligation or expenditure of a 911 fee or charge for a purpose or function other than the purposes and functions designated by the Commission as acceptable pursuant to § 9.23. Diversion also includes distribution of 911 fees to a political subdivision that obligates or expends such fees for a purpose or function other than those designated as acceptable by the Commission pursuant to § 9.23. (see also NPRM ¶18)

Policy issues for discussion and possible Board comment:

@ ¶7 (see also ¶24, 26, 30, §9.24) Presenting “direct impact” as a necessary factual threshold for states to establish expenditures of 911 funds under the proposed rules. This, in effect, constitutes part of a state’s burden of proof when petitioning the FCC for approval of an expenditure. Prior federal legislation instructed that use of 911 fees should be limited to direct improvements to the 911 system. Proposed rule 9.24 requires showing that the purpose or function of an expenditure has a direct impact on the ability of the PSAP to receive or respond to 911 calls or dispatch emergency responders.

@ ¶9: New legislation does not specifically address NG911. However, the FCC proposes to define “911 fee or charge” to include other emergency communication services” as “the provision of emergency information to a public safety answering point via wire or radio communications and may include 9-1-1 and enhanced 9-1-1 service” (under the NET 911 Act) with the intent of ensuring support for NG911. (@ ¶13)

@ ¶17: That the FCC’s proposed definition should extend to any fee or tax identified as supporting 911 even if not labelled as a 911 fee or tax.

@ ¶22: Proposes examples of acceptable purposes and functions (for 911 fee use) “provided that the state or taxing jurisdiction can adequately document that it has obligated or spent the fees or charges in question for these purposes and functions:”

“(1) PSAP operating costs, including lease, purchase, maintenance, and upgrade of customer premises equipment (CPE) (hardware and software), computer aided dispatch (CAD) equipment (hardware and software), and the PSAP building/facility;

(2) PSAP personnel costs, including telecommunicators’ salaries and training; (see ¶27 where salaries are recognized as 911 related)

(3) PSAP administration, including costs for administration of 911 services and travel expenses associated with the provision of 911 services;
(4) Integrating public safety/first responder dispatch and 911 systems, including lease, purchase, maintenance, and upgrade of CAD hardware and software to support integrated 911 and public safety dispatch operations; and

(5) Providing for the interoperability of 911 systems with one another and with public safety/first responder radio systems.”

@ ¶24: Seeks comment on examples that are not acceptable. @25: FCC has concluded that supporting public safety radio systems, including upgrades and maintenance and new system additions are not 911 related because such are technically and operationally distinct from 911 call handling. Subparagraph 3 includes the “direct impact” context noted in ¶7: (3) Equipment or infrastructure for law enforcement, firefighters, and other public safety/first responder entities, including public safety radio equipment and infrastructure, that does not have a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders.

@ ¶28: transparency in fee use – allowing flexibility for 911 related and non-911 related but requiring states to specify the amount or percentages.

@ ¶8, 34: Strike Force – Requests comments on how the FCC can emphasize collection and reporting of underfunding, non-approved uses of 911 funds, etc. for its annual reporting obligations given that the same information is to be provided to the Strike Force. An announcement is anticipated that will seek nominations for participants. 47 USC 154(n) may provide authority for the strike force, where the FCC has authority to investigate and study all phases of the problem (use of radio and wire communications in connection with safety of life and property).

Legal comments generally relate to authority, statutory construction:

@ ¶15: FCC’s conclusion that “other emergency communications services” includes 911, E911 and NG 911 calls and texts from any type of service, and therefore diverting fees collected for any type of 911 service undermines Congressional intent.

@ ¶16: FCC’s authority (extending prior conclusions in ¶15) under new law and rejecting states’ labelling a fee is not dispositive.

@ ¶30: Petition for determination

General: issues of federal preemption of state law.
Background:
Each year people in urgent need of assistance place over 200 million emergency calls to 911 call centers in the United States. Funding for the 911 system is provided in part by dedicated 911 fees established by each state and territory that appear as charges on customer bills for wireless, wireline, and other communications services. Despite the critical importance of 911 service, the Commission’s annual reports to Congress on 911 fees show that some states divert a portion of the fees collected for 911 to other purposes.

On December 27, 2020, new federal legislation (the Don’t Break Up the T-Band Act of 2020) was signed into law that requires the Commission to take action to help address the diversion of 911 fees by states and other jurisdictions for purposes unrelated to 911. In particular, section 902 of the new legislation directs the Commission to issue final rules within 180 days defining what uses of 911 fees by states and taxing jurisdictions constitute 911 fee diversion. The Notice of Proposed Rulemaking seeks comment on proposed rules to implement these provisions.

What the Notice of Proposed Rulemaking Would Do:

- Propose rules that would define the types of expenditures of 911 fees by states and taxing jurisdictions that are acceptable under the criteria established in section 902 and the types of expenditures that constitute 911 fee diversion.

- Propose rules that would allow states and taxing jurisdictions to petition the Commission for a determination that expenditures of 911 fees not previously designated as acceptable by the Commission should be treated as acceptable under section 902.

- Propose a rule providing that any state or taxing jurisdiction identified as a 911 fee diverter in the Commission’s annual 911 fee report to Congress would be ineligible to serve on any committee, panel, or council established to advise the First Responder Network Authority (FirstNet) or any advisory committee established by the Commission.

- Propose a rule providing that if a state or taxing jurisdiction receives a federal 911 grant, as a condition of the grant it must provide information that the Commission requires in order to prepare the annual 911 fee report to Congress.
I. INTRODUCTION

On December 27, 2020, the President signed the Don’t Break Up the T-Band Act of 2020 as part of the Consolidated Appropriations Act, 2021. Section 902 of the new legislation requires the

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* This document has been circulated for tentative consideration by the Commission at its February open meeting. The issues referenced in this document and the Commission’s ultimate resolutions of those issues remain under consideration and subject to change. This document does not constitute any official action by the Commission. However, the Acting Chairwoman has determined that, in the interest of promoting the public’s ability to understand the nature and scope of issues under consideration, the public interest would be served by making this document publicly available. The Commission’s ex parte rules apply and presentations are subject to “permit-but-disclose” ex parte rules. See, e.g., 47 CFR §§ 1.1206, 1.1200(a). Participants in this proceeding should familiarize themselves with the Commission’s ex parte rules, including the general prohibition on presentations (written and oral) on matters listed on the Sunshine Agenda, which is typically released a week prior to the Commission’s meeting. See 47 CFR §§ 1.1200(a), 1.1203.
Commission to take action to help address the diversion of 911 fees by states and other jurisdictions for purposes unrelated to 911. In particular, it directs the Commission to issue final rules, not later than 180 days after the date of enactment of section 902, designating the uses of 911 fees by states and taxing jurisdictions that constitute 911 fee diversion for purposes of 47 U.S.C. § 615a-1, as amended by section 902. In this Notice of Proposed Rulemaking, we propose measures to implement section 902. We seek comment on these measures, which are designed to identify those uses of 911 fees by states and other jurisdictions that support the provision of 911 services.

II. BACKGROUND

2. Congress has had a longstanding concern about the practice by some states and local jurisdictions of diverting 911 fees for non-911 purposes. In the ENHANCE 911 Act of 2004, Congress required states and local jurisdictions receiving federal 911 grants to certify that they were not diverting 911 funds. In the New and Emerging Technologies 911 Improvement Act of 2008 (NET 911 Act), Congress enacted additional measures to limit 911 fee diversion, codified in 47 U.S.C. § 615a-1 (section 615a-1). Specifically, section 615a-1(f)(1) provided that nothing in the NET 911 Act, the Communications Act of 1934, or any Commission regulation or order “shall prevent the imposition and collection of a fee or charge applicable to commercial mobile services or IP-enabled voice services specifically designated by a State, political subdivision thereof, Indian tribe, or village or regional corporation . . . for the support or implementation of 9-1-1 or enhanced 9-1-1 services, provided that the fee or charge is obligated or expended only in support of 9-1-1 and enhanced 9-1-1 services, or enhancements of such services, as specified in the provision of State or local law adopting the fee or charge.”

3. The NET 911 Act also required the Commission to begin reporting annually on the status in each state of the collection and distribution of fees for the support or implementation of 911 or E911 services, including findings on the amount of revenues obligated or expended by each state “for any purpose other than the purpose for which any such fees or charges are specified.” Pursuant to this provision, the Commission has reported annually to Congress on 911 fee diversion every year since

(Continued from previous page)
2009.9 All 12 of the annual reports issued to date have identified some states that have diverted 911 fees to other uses.10

4. In October 2020, the Commission released a Notice of Inquiry seeking comment on the effects of fee diversion and the most effective ways to dissuade states and jurisdictions from continuing or instituting the diversion of 911/E911 fees.11 Noting that publicly identifying diverting states in the Commission’s annual reports has helped discourage the practice but had not eliminated fee diversion, the Commission sought comment on whether it could take other steps to discourage fee diversion, such as conditioning state and local eligibility for FCC licenses, programs, or other benefits on the absence of fee diversion.12 The Commission received eight comments and seven reply comments in response to the Notice of Inquiry.13

5. The newly enacted section 902 requires the Commission to take additional action with respect to 911 fee diversion. Specifically, section 902(c)(1)(C) adds a new paragraph (3)(A) to section 615a-1(f) that directs the Commission to adopt rules “designating purposes and functions for which the obligation or expenditure of 9-1-1 fees or charges, by any State or taxing jurisdiction authorized to impose such a fee or charge, is acceptable” for purposes of section 902 and the Commission’s rules.14 The newly added section 615a-1(f)(3)(B) states that these purposes and functions shall be limited to “the support and implementation of 9-1-1 services” provided by or in the state or taxing jurisdiction imposing the fee or charge, and “operational expenses of public safety answering points” within such state or taxing jurisdiction.15 The new section also states that, in designating such purposes and functions, the Commission shall consider the purposes and functions that states and taxing jurisdictions specify as the intended purposes and functions for their 911 fees or charges, and “determine whether such purposes and functions directly support providing 9-1-1 services.”16

6. Section 902 also amends section 615a-1(f)(1) to provide that the rules adopted by the Commission for these purposes will apply to states and taxing jurisdictions that impose 911 fees or

9 The Chairman of the Federal Communications Commission submits the annual report to Congress on State Collection and Distribution of 911 and Enhanced 911 Fees and Charges, as mandated by the NET 911 Act and as prepared by the staff in the Public Safety and Homeland Security Bureau. See 47 U.S.C. § 155(a) (stating, inter alia, that “[i]t shall be [the Chairman’s] duty … to represent the Commission in all matters relating to legislation and legislative reports”). These annual reports can be viewed at https://www.fcc.gov/general/911-fee-reports.


12 Fee Diversion NOI, 35 FCC Rcd at 11011, 11016, paras. 5, 16.

13 These filings can be viewed in the FCC’s electronic comment filing system (ECFS) at https://www.fcc.gov/ecfs/, under PS Docket Nos. 20-291 and 09-14.


15 47 U.S.C. § 615a-1(f)(3)(B) (as amended); Section 902(c)(1)(C).

16 47 U.S.C. § 615a-1(f)(3)(B) (as amended); Section 902(c)(1)(C).
charges. Whereas the prior version of section 615a-1(f)(1) referred to fees or charges “obligated or expended only in support of 9-1-1 and enhanced 9-1-1 services, or enhancements of such services, as specified in the provision of State or local law adopting the fee or charge,”¹⁷ the amended version reads as follows:

Nothing in this Act, the Communications Act of 1934 (47 U.S.C. 151 et seq.), the New and Emerging Technologies 911 Improvement Act of 2008, or any Commission regulation or order shall prevent the imposition and collection of a fee or charge applicable to commercial mobile services or IP-enabled voice services specifically designated by a State, political subdivision thereof, Indian tribe, or village or regional corporation serving a region established pursuant to the Alaska Native Claims Settlement Act, as amended (85 Stat. 688) for the support or implementation of 9-1-1 or enhanced 9-1-1 services, provided that the fee or charge is obligated or expended only in support of 9-1-1 and enhanced 9-1-1 services, or enhancements of such services, consistent with the purposes and functions designated in the final rules issued under paragraph (3) as purposes and functions for which the obligation or expenditure of such a fee or charge is acceptable.¹⁸

7. In addition, section 902(c) establishes a process for states and taxing jurisdictions to seek a determination that a proposed use of 911 fees should be treated as having such an acceptable purpose or function even if it is for a purpose or function that has not been designated as such in the Commission’s rules.¹⁹ Specifically, newly added section 615a-1(f)(5) provides that a state or taxing jurisdiction may submit to the Commission a petition for a determination that an obligation or expenditure of a 911 fee or charge “for a purpose or function other than a purpose or function designated under [section 615a-1(f)(3)(A)] should be treated as such a purpose or function,” i.e., as acceptable for purposes of this provision and the Commission’s rules.²⁰ The new section 615a-1(f)(5) provides that the Commission shall grant the petition if the state or taxing jurisdiction provides sufficient documentation that the purpose or function “(i) supports public safety answering point functions or operations,” or “(ii) has a direct impact on the ability of a public safety answering point to . . . (I) receive or respond to 9-1-1 calls; or (II) dispatch emergency responders.”²¹

8. Section 902(d) requires the Commission to create an “interagency strike force” to study “how the Federal Government can most expeditiously end diversion” by states and taxing jurisdictions and to report to Congress on its findings within 270 days of the statute’s enactment.²² It further provides that if the Commission obtains evidence that “suggests the diversion by a State or taxing jurisdiction of 9-1-1 fees or charges,” the Commission shall submit such information to the strike force.²³ In addition, Section 902(c)(1)(C) provides that if a state or taxing jurisdiction receives a grant under section 158 of the National Telecommunications and Information Administration Organization Act (47 U.S.C. § 942) after the date of the enactment of the new legislation, “such State or taxing jurisdiction shall, as a condition of receiving such grant, provide the information requested by the Commission to prepare the [annual report

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¹⁸ 47 U.S.C. § 615a-1(f)(1) (as amended) (emphasis added); Section 902(c)(1)(A).
¹⁹ 47 U.S.C. § 615a-1(f)(5) (as amended); Section 902(c)(1)(C).
²⁰ Id.
²¹ 47 U.S.C. § 615a-1(f)(5) (as amended); Section 902(c)(1)(C).
²² Section 902(d)(3).
²³ Section 902(d)(1). In addition, Section 902(d)(2) provides that, beginning with the first annual fee report “that is required to be submitted after the date that is 1 year after the date of the enactment of this Act,” the Commission shall include in each report “all evidence that suggests the diversion by a State or taxing jurisdiction of 9-1-1 fees or charges, including any information regarding the impact of any underfunding of 9-1-1 services in the State or taxing jurisdiction.”
to Congress on 911 fees].” Finally, section 902(d)(4) prohibits any state or taxing jurisdiction identified as a fee diverter in the Commission’s annual report from participating or sending a representative to serve on any committee, panel, or council established to advise the First Responder Network Authority (FirstNet) under 47 U.S.C. § 1425(a) or any advisory committee established by the Commission.

9. Section 902 does not impose any requirement on states or taxing jurisdictions to impose any fee in connection with the provision of 911 service. As revised, the proviso to Section 615a-1 states that nothing in the Act or the Commission’s rules “shall prevent the imposition and collection of a fee or charge applicable to commercial mobile services or IP-enabled voice services” specifically designated by the taxing jurisdiction “for the support or implementation of 9-1-1 or enhanced 9-1-1 services, provided that the fee or charge is obligated or expended only in support of 9-1-1 and enhanced 9-1-1 services, consistent with the purposes and functions designated in [the Commission’s forthcoming rules] as purposes and functions for which the obligation or expenditure of such a fee or charge is acceptable.”

III. DISCUSSION

10. With this Notice of Proposed Rulemaking, we propose rules to implement the provisions of section 902 that require Commission action. Specifically, we propose to amend part 9 of our rules to establish a new subpart I that would address 911 fees and fee diversion in accordance with and for the purposes of the statute. Accordingly, we propose to (1) adopt rules that clarify what does and does not constitute the kind of diversion of 911 fees that has concerned Congress (and the Commission), (2) establish a declaratory ruling process for providing further guidance to states and taxing jurisdictions on fee diversion issues, and (3) codify the specific restrictions that section 902 imposes on states and taxing jurisdictions that engage in diversion as defined by our rules (i.e., a reporting requirement upon which eligibility for NTIA grants are to be conditioned, and the exclusion from eligibility to participate on certain committees, panels, councils, and Commission advisory commissions). We tentatively conclude that these proposed changes to part 9 would further Congress’s stated objectives in section 902 in a cost-effective manner that is not unduly burdensome to providers of emergency telecommunications services or to state and taxing jurisdictions. We seek comment on this tentative conclusion and on the proposed changes we set forth below.

A. Definitions and Applicability

11. As a preliminary matter, we note that section 902 defines certain terms relating to 911 fees and fee diversion. To promote consistency, we propose to codify these definitions in our rules with certain modifications, as described below. We seek comment on these proposed definitions.

12. 911 fee or charge. Section 902 defines “9-1-1 fee or charge” as “a fee or charge applicable to commercial mobile services or IP-enabled voice services specifically designated by a State or taxing jurisdiction for the support or implementation of 9-1-1 services.” We propose to codify this definition in our rules. However, we note that the statutory definition in section 902 does not address services that may be subject to 911 fees other than Commercial Mobile Radio Services (CMRS) and IP-enabled voice services. The reason for this omission is unclear. For example, virtually all states impose 911 fees on wireline telephone services and have provided information on such fees for inclusion in the Commission’s annual fee reports. In addition, as 911 expands beyond voice to include text and other non-voice applications, states could choose to extend 911 fees to such services in the future.
13. To promote regulatory parity and avoid gaps that could inadvertently frustrate the rapid deployment of effective 911 services, including advanced Next Generation 911 (NG911) services, we propose to define “911 fee or charge” in our rules to include fees or charges applicable to “other emergency communications services” as defined in section 201(b) of the NET 911 Act. Under the NET 911 Act, the term “other emergency communications service” means “the provision of emergency information to a public safety answering point via wire or radio communications, and may include 9-1-1 and enhanced 9-1-1 service.” The proposed modification will make clear that the rules in subpart I extend to all communications services regulated by the Commission that provide emergency communications, including wireline services, and not just to commercial mobile services and IP-enabled voice services.

14. We tentatively conclude that adoption of this proposed expanded definition of “911 fee or charge” is reasonably ancillary to the Commission’s effective performance of its statutorily mandated responsibilities under section 902 and other federal 911-related statutes that, taken together, establish an overarching federal interest in ensuring the effectiveness of the 911 system. The Commission’s general jurisdictional grant includes the responsibility to set up and maintain a comprehensive and effective 911 system, encompassing a variety of communication services in addition to CMRS and IP-enabled voice services. Section 251(e)(3) of the Communications Act of 1934, which directs the Commission to designate 911 as the universal emergency telephone number, states that the designation of 911 “shall apply to both wireline and wireless telephone service,” which evidences Congress’s intent to grant the Commission broad authority over different types of communications services in the 911 context. Similarly, RAY BAUM’S Act directed the Commission to consider adopting rules to ensure that dispatchable location is conveyed with 911 calls “regardless of the technological platform used.”

(Continued from previous page)
15. Based on the foregoing, we tentatively conclude that including “other emergency communications services” within the scope of the definition of 911 fees we propose is also reasonably ancillary to the Commission’s effective performance of its statutorily mandated responsibilities for ensuring that the 911 system, including 911, E911, and NG911 calls and texts from any type of service, is available, that these 911 services function effectively, and that 911 fee diversion by states and other jurisdictions does not detract from these critical, statutorily recognized purposes. Diverting fees collected for 911 service of any type, whether it be wireline, wireless, IP based, or text, undermines the purpose of these federal statutes by depriving the 911 system of the funds it needs to function effectively and to modernize 911 operations. We seek comment on this tentative conclusion and on the extent to which our proposed rules would strengthen the effectiveness of a nationwide 911 service.

16. In addition, we seek comment on extending the definition of “911 fee or charge” to include fees or charges designated for the support of “public safety,” “emergency services,” or similar purposes if the purposes or allowable uses of such fees or charges include the support or implementation of 911 services. This would be consistent with the approach taken in the agency’s annual fee reports, which found that the mere labelling of a fee is not dispositive and that one must examine the underlying purpose of the fee to determine whether it is (or includes) a 911 fee within the meaning of the NET 911


35 The 2016 report of the Task Force on Optimal PSAP Architecture (TFOPA) recounted how fee diversion practices have “delayed plans in several states to meet the deployment schedule for the transition to an NG9-1-1 system.” See TFOPA Report at 153-154; see generally Legal and Regulatory Framework for Next Generation 911 Services, Report and Recommendations, at Sec. 4.1.4 (2013) (Report to Congress Pursuant to the Next Generation 911 Advancement Act of 2012 (Pub. L. No. 112-96 (2012)), https://www.911.gov/pdf/FCC_Report_Legal_Regulatory_Framework NG911 Services 2013.pdf. Other commenters have noted instances of fee diversion resulting in the delay of 911 improvements. See New Jersey Wireless Association Reply Comments, PS Docket No. 09-14, at 2 (rec. Feb. 12, 2019) (noting that instead of upgrading to NG911 technology, New Jersey is maintaining a 911 selective router system that is “past its useful life and is now costing more to maintain from previous years, due to its obsolescence”); Letter from Matthew Grogan, 1st Vice President, Nevada APCO at 1 (Feb. 15, 2019) (noting that Nevada 911 funds have been used to purchase police body cameras at a time when “several counties and jurisdictions … are still not equipped with enhanced 9-1-1 services”), https://www.leg.state.nv.us/App/NELIS/REL/80th2019/ExhibitDocument/OpenExhibitDocument?exhibitId=36516 &fileDownloadName=SB%2025_Testimony%20in%20Opposition_Matthew%20Grogan%20Nevada%20Fee%20D iversion.pdf.

36 We also propose a safe harbor in the rules providing that the obligation or expenditure of such fees or charges will not constitute diversion so long as the state or taxing jurisdiction: (1) specifies the amount or percentage of such fees or charges that is dedicated to 911 services; (2) ensures that the 911 portion of such fees or charges is segregated and not commingled with any other funds; and (3) obligates or expends the 911 portion of such fees or charges for acceptable purposes and functions as defined under this section. See infra para. 28.
We seek comment on these conclusions.

17. We propose that for purposes of implementing section 902, our definition of “911 fee or charge” should similarly extend to fees or charges that are expressly identified by the state or taxing jurisdiction as supporting 911, even if the fee is not labelled as a 911 fee. We tentatively conclude that this is consistent with the purpose of section 902 with respect to diversion of 911 fees and charges. We seek comment on this proposal. Does the proposed definition of 911 fees or charges capture the universe of 911 fees or charges that can be diverted? Is the definition overinclusive or underinclusive? Are there other modifications to the definition that would help to prevent 911 fee diversion?

18. Diversion. Section 902(f) defines “diversion” as follows:

The term “diversion” means, with respect to a 9-1-1 fee or charge, the obligation or expenditure of such fee or charge for a purpose or function other than the purposes and functions designated in the final rules issued under paragraph (3) of section 6(f) of the Wireless Communications and Public Safety Act of 1999, as added by this Act, as purposes and functions for which the obligation or expenditure of such a fee or charge is acceptable.

We propose to codify this definition, with minor changes to streamline it. Specifically, we propose to define diversion as “[t]he obligation or expenditure of a 911 fee or charge for a purpose or function other than the purposes and functions designated by the Commission as acceptable pursuant to [the applicable rule section in subpart I].” In addition, we propose to clarify that diversion also includes distribution of 911 fees to a political subdivision that obligates or expends such fees for a purpose or function other than those designated by the Commission. We believe this provision will clarify that states and taxing jurisdictions are also responsible for diversion of 911 fees by political subdivisions, such as counties, that may receive 911 fees. We seek comment on these proposals.

19. State or taxing jurisdiction. Section 902 defines a state or taxing jurisdiction as “a State, political subdivision thereof, Indian Tribe, or village or regional corporation serving a region established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.).” We propose to codify this definition in our rules. We note that the existing language in section 615a-1 directs the Commission to submit an annual report to Congress on the use of 911 fees by “each State or political subdivision thereof,” and section 902 does not revise this language. We also note that section 902 does not alter the definition of “State” in the existing legislation. Under section 615b, the term “State” means “any of the several States, the District of Columbia, or any territory or possession of the United States.” Accordingly, provisions in subpart I that apply to any “State or taxing jurisdiction” would apply to the District of Columbia and any United States territory or possession as well. To clarify this and to assist users of the regulations, we propose to add the definition of State to subpart I.

20. Regarding the scope of proposed subpart I, we propose that the rules apply to states or taxing jurisdictions that collect 911 fees or charges (as defined in that subpart) from commercial mobile

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37 E.g., Twelfth Report at 51-52, para. 31 (“We do not agree that a fee or charge must be exclusively designated for 911 or E911 purposes in order to constitute a fee or charge ‘for the support or implementation of 9-1-1 or enhanced 9-1-1 services’ under section 6(f)(1) of the NET 911 Act.”); see also Eleventh Report at 43, para. 34.


39 Section 902(f)(4).

40 As proposed for the new Subpart I, “[a]cceptable purposes and functions for the obligation or expenditure of 911 fees or charges are limited to: (1) Support and implementation of 911 services provided by or in the State or taxing jurisdiction imposing the fee or charge; and (2) Operational expenses of public safety answering points within such State or taxing jurisdiction.”

41 47 U.S.C. § 615a-1(f)(3)(d) (as amended); Section 902(c)(1)(C), (f)(5).

42 47 U.S.C. § 615b(2).

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services, IP-enabled voice services, and other emergency communications services. And as the proposed definitions make clear, such fees or charges would include fees or charges designated for the support of public safety, emergency services, or similar purposes if the purposes or allowable uses of such fees or charges include the support or implementation of 911 services. We seek comment on these proposals.

B. Designation of Obligations or Expenditures Acceptable for Purposes of Section 902

21. Section 902 requires the Commission to issue rules “designating purposes and functions for which the obligation or expenditure of 9-1-1 fees or charges, by any State or taxing jurisdiction authorized to impose such a fee or charge, is acceptable” for purposes of the statute.\(^{43}\) In addition, section 902 provides that the purposes and functions designated as acceptable for such purposes “shall be limited to the support and implementation of 9-1-1 services provided by or in the State or taxing jurisdiction imposing the fee or charge and operational expenses of public safety answering points within such State or taxing jurisdiction.”\(^{44}\) Section 902 also provides that the Commission shall consider the purposes and functions that states and taxing jurisdictions specify as their intended purposes and “determine whether such purposes and functions directly support providing 9-1-1 services.”\(^{45}\) Moreover, Section 902 provides states and taxing authorities with the right to file a petition with the Commission for a determination that an obligation or expenditure of a 911 fee or charge that is imposed for a purpose or function other than those designated as acceptable for purposes of the statute in the Commission rules should nevertheless be treated as having an acceptable purpose or function for such purposes.\(^{46}\)

22. We propose to codify the statutory standard for acceptable purposes and functions for the obligation or expenditure of 911 fees or charges by providing that acceptable purposes and functions for purposes of the statute are limited to (1) support and implementation of 911 services provided by or in the state or taxing jurisdiction imposing the fee or charge, and (2) operational expenses of PSAPs within such state or taxing jurisdiction. This proposed language tracks the language in section 902.\(^{47}\) In addition, we propose to specify in the rules that examples of such acceptable purposes and functions include, but are not limited to, the following, provided that the state or taxing jurisdiction can adequately document that it has obligated or spent the fees or charges in question for these purposes and functions:

1. PSAP operating costs, including lease, purchase, maintenance, and upgrade of customer premises equipment (CPE) (hardware and software), computer aided dispatch (CAD) equipment (hardware and software), and the PSAP building/facility;
2. PSAP personnel costs, including telecommunicators’ salaries and training;
3. PSAP administration, including costs for administration of 911 services and travel expenses associated with the provision of 911 services;
4. Integrating public safety/first responder dispatch and 911 systems, including lease, purchase, maintenance, and upgrade of CAD hardware and software to support integrated 911 and public safety dispatch operations; and

\(^{45}\) 47 U.S.C. § 615a-1(f)(5).
\(^{46}\) 47 U.S.C. § 615a-1(f)(5). Such a petition must be granted if the Commission finds that the State or taxing jurisdiction has provided sufficient documentation to demonstrate that the purpose or function in question supports PSAP functions or operations, or that the purpose or function has a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders. Id.
\(^{47}\) See 47 U.S.C. § 615a-1(f)(3)(B) (as amended); Section 902(c)(1)(C) (stating that “[t]he purposes and functions designated [by the Commission] shall be limited to the support and implementation of 9-1-1 services provided by or in the State or taxing jurisdiction imposing the fee or charge and operational expenses of public safety answering points within such State or taxing jurisdiction”).
(5) Providing for the interoperability of 911 systems with one another and with public safety/first responder radio systems.

23. We believe these purposes and functions are consistent with the general standard for designating acceptable uses of 911 fees and charges set out in section 902. They also are consistent with the Commission’s past analysis of 911 fee diversion in its annual fee reports, and, as required under section 902, they reflect the Commission’s consideration of the purposes and functions that states have specified for their 911 fees and charges. In particular, the Commission has stated in its annual fee reports that the requisite nexus to 911 includes expenditures that (1) support PSAP functions or operations, (2) have a reasonable nexus to PSAPs’ ability to receive 911 calls and/or dispatch emergency responders, or (3) relate to communications infrastructure that connects PSAPs (or otherwise ensures the reliable reception and processing of emergency calls and their dispatch to first responders). In addition, the Commission has stated that expenses associated with integrating public safety dispatch and 911 systems (e.g., purchase of CAD hardware and software to support integrated 911 and dispatch operations) may be 911 related, provided the state or other jurisdiction can document a connection to 911. We seek comment on our proposed inclusion of these examples of acceptable purposes and functions and any additional examples that should be specified in the rules.

24. We also seek comment on specifying certain examples of purposes and functions that are not acceptable for the obligation or expenditure of 911 fees or charges for purposes of the statute. These would include, but are not limited to:

(1) Transfer of 911 fees into a state or other jurisdiction’s general fund or other fund for non-911 purposes;

(2) Equipment or infrastructure for constructing or expanding non-public safety communications networks (e.g., commercial cellular networks); and

(3) Equipment or infrastructure for law enforcement, firefighters, and other public safety/first responder entities, including public safety radio equipment and infrastructure, that does not have a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders.

25. Identifying these examples as unacceptable expenditures for purposes of the statute is consistent with the manner in which such expenditures were analyzed in our annual 911 fee reports. For example, the fee reports have repeatedly found that transferring 911 fees to the state’s general fund or using 911 fees for the expansion of commercial cellular networks constitutes fee diversion. The fee reports also have found that expenditures to support public safety radio systems, including maintenance, upgrades, and new system acquisitions, are not 911 related. The Eleventh Report explained that the purchase or upgrade of public safety radio equipment was not considered to be 911 related because “radio networks used by first responders are technically and operationally distinct from the 911 call-handling

48 See Tenth Report at 49, para. 40. Under this analysis, funding for 911 dispatcher salaries and training would have a sufficient nexus to 911, but equipment and infrastructure for law enforcement, firefighters, and other first responders generally would not. See also Eleventh Report at 74, para. 59 (“CTIA supports the Commission in requiring documentation sufficient to demonstrate that the expenditures (1) support PSAP functions or operations, (2) have a reasonable nexus to PSAPs’ ability to receive 9-1-1 calls and/or dispatch emergency responders, or (3) relate to communications infrastructure that connects PSAPs.”).


system.”52 We seek comment on whether we should reexamine any of these prior findings in light of the impact of the coronavirus pandemic on public safety and emergency communications services, if any.

26. Our proposed designation of acceptable purposes and functions for purposes of the statute is also consistent with the legislative history of the NET 911 Act. In its report on H.R. 3403 (the bill that was enacted as the NET 911 Act), the House Committee on Energy and Commerce noted that several states were known to be using 911 fees for “purposes other than 911 or emergency communications services.”53 The Report also noted that under section 6(f) of the proposed legislation, “[s]tates and their political subdivisions should use 911 or E-911 fees only for direct improvements to the 911 system. Such improvements could include improving the technical and operational aspects of PSAPs; establishing connections between PSAPs and other public safety operations, such as a poison control center; or implementing the migration of PSAPs to an IP-enabled emergency network.”54 Further, “[t]his provision is not intended to allow 911 or E-911 fees to be used for other public safety activities that, although potentially worthwhile, are not directly tied to the operation and provision of emergency services by the PSAPs.”55

27. We seek comment on our proposed designation of acceptable purposes and functions under the statute. Are the proposed purposes and functions that would be deemed acceptable overinclusive or underinclusive? If the proposed purposes are overinclusive, commenters should explain how and why. What purposes and functions have states and taxing jurisdictions specified as the intended functions for 911 fees and charges, and how should we take these specifications into account as we designate acceptable purposes and functions under section 902? CTIA contends that allowable 911 expenditures should include the nonrecurring costs of establishing a 911 system, the cost of emergency telephone and dispatch equipment, and costs for training for maintenance and operation of the 911 system but should exclude costs for leasing real estate, cosmetic remodeling of facilities, salaries or benefits, or emergency vehicles.56 The Commission has found in its 911 fee reports, however, that some PSAP overhead costs, such as 911 telecommunicator salaries, are 911 related.57 To the extent that the proposed purposes and functions are underinclusive, commenters should identify what additional purposes and functions should be deemed acceptable, and why.

28. We also propose to define acceptable purposes and functions under section 902 for states and taxing jurisdictions that impose multi-purpose fees or charges intended to support 911 services as well as other public safety purposes. In such instances, we believe states and taxing jurisdictions should have the flexibility to apportion the collected funds between 911-related and non-911 related programs, but that safeguards are needed to ensure that such apportionment is not subject to manipulation that would constitute fee diversion. We therefore propose to adopt a safe harbor in our rules providing that the obligation or expenditure of such fees or charges will not constitute diversion so long as the state or taxing jurisdiction: (1) specifies the amount or percentage of such fees or charges that is dedicated to 911 services; (2) ensures that the 911 portion of such fees or charges is segregated and not commingled with

52 See Eleventh Report at 42, para. 32; see also Eleventh Report at 44, para. 37 (finding that there was no 911 fee diversion where Virginia allocated a portion of its wireless E911 funding to the Virginia State Police for costs incurred for answering wireless 911 telephone calls and to support sheriff’s 911 dispatchers).


54 Id. at 15.

55 Id.

56 CTIA Comments on NOI at 5-6.

57 See, e.g., Eleventh Report at 21, para. 18; Tenth Report at 44-45, para. 33.
any other funds; and (3) obligates or expends the 911 portion of such fees or charges for acceptable purposes and functions as defined under this section. This provision would provide transparency in the use of 911 fees when a state or taxing jurisdiction collects a fee for both 911 and non-911 purposes. It would also enable the Commission to verify through the annual fee report data collection that the 911 portion of such fees or charges is not being diverted.58

29. We seek comment on our proposal for determining whether there is diversion of a fee or charge collected for both 911 and non-911 purposes. Are the measures we propose sufficient to provide transparency with respect to diversion in the use of such fees? Are there other measures that would help ensure that 911 fees or charges are fully traceable in states or taxing jurisdictions with such funding mechanisms? In addition, some state laws and regulations provide that any excess 911 funds left over after all 911 expenditures have been covered can be used for non-911 related purposes.59 Similarly, some state laws and regulations provide that if the 911 service is discontinued, the remaining 911 funds can be disbursed to non-911 uses, such as a general fund. Does the existence or implementation of such provisions for non-911 related disbursements constitute diversion?

C. Petition for Determination

30. Section 902(c)(1)(C) provides that a state or taxing jurisdiction may petition the Commission for a determination that “an obligation or expenditure of a 911 fee or charge for a purpose or function other than a purpose or function designated as 911-related under paragraph (3)(A) [support for 911 services/PSAP expenditures] should be treated as such a purpose or function.”60 The state or taxing jurisdiction must demonstrate that the expenditure: (1) “supports public safety answering point functions or operations,” or (2) has a direct impact on the ability of a public safety answering point to “receive or respond to 911 calls” or to “dispatch emergency responders.”61 If the Commission finds that the state or taxing jurisdiction has provided sufficient documentation to make this demonstration, section 902 provides that the Commission shall grant the petition.62

31. We propose to codify these provisions in new subpart I of the rules. We believe Congress intended this petition process to serve as a safety valve allowing states to seek further refinement of the definition of obligations and expenditures that are considered 911 related. At the same time, the proposed rule would set clear standards for what states must demonstrate to support a favorable ruling, including the requirement to provide sufficient documentation. To promote efficiency in reviewing such petitions, we also propose that states or taxing jurisdictions seeking such a determination

58 This proposal is consistent with the agency’s review of the U.S. Virgin Islands’ “Emergency Service” surcharge, which is dedicated for both 911 and non-911 purposes. The Eleventh Report noted that under the U.S. Virgin Islands’ statute, surcharge funds are deposited in an Emergency Service Fund (ESF), with ESF funds allocated 40% to the Virgin Islands Emergency Management Agency (VITEMA) and the other 60% allocated to other specific public safety, non-911 uses. See Eleventh Report at 44-45, paras. 39-40. In addition, the percentage of the ESF allocated to VITEMA must be used entirely for 911/E911 support of PSAPs, and the ESF cannot be commingled with or redirected to the general fund or any other account. See id. at 45, para. 40. The Commission concluded that the collection and use of these surcharge funds did not constitute diversion of 911 fees. See Eleventh Report at 44-45, paras. 39-40.


must do so by filing a petition for declaratory ruling under section 1.2 of the Commission’s rules. The declaratory ruling process would promote transparency regarding the ultimate decisions about 911 fee revenues that legislatures and executive officials make and how such decisions promote effective 911 services and deployment of NG911. Consistent with the declaratory ruling process outlined in section 1.2(b), we anticipate docketing the petition within an existing or new proceeding. In addition, we anticipate the Public Safety and Homeland Security Bureau will seek comment on petitions via public notice and with a comment and reply comment cycle. We propose to delegate authority to the Bureau to rule on these petitions. We seek comment on these proposals and on any possible alternative processes for entertaining such petitions.

D. Other Section 902 Provisions

32. Pursuant to section 902(d)(4), any state or taxing jurisdiction identified by the Commission in the annual 911 fee report as engaging in diversion of 911 fees or charges “shall be ineligible to participate or send a representative to serve on any committee, panel, or council established under section 6205(a) of the Middle Class Tax Relief and Job Creation Act of 2012 . . . or any advisory committee established by the Commission." We propose to codify this restriction in subpart I and seek comment on this proposal. We also seek comment on the extent to which state and local governments currently diverting 911 fees (based on the Commission’s most recent report) now participate in such Commission advisory committees and the impact on them from being prohibited from doing so. Would it be helpful to provide a mechanism for states and taxing jurisdictions to raise questions regarding their eligibility to serve on an advisory committee?

33. Section 902(c)(1)(C) also provides that if a state or taxing jurisdiction receives a grant under section 158 of the National Telecommunications and Information Administration Organization Act (47 U.S.C. 942) after the date of enactment of Section 902, “such State or taxing jurisdiction shall, as a condition of receiving such grant, provide the information requested by the Commission to prepare [the annual report to Congress on 911 fees].” We propose to codify this provision in subpart I and seek comment on this proposal. What effect does this statutory provision and its proposed codification in the Commission’s rules have on states or taxing jurisdictions that receive such grants? Does this provision, combined with other statutory anti-diversion restrictions that already apply to 911 grant recipients, increase the likelihood that diverting states and taxing jurisdictions will change their diversion practices? Are there any aspects of our proposed implementation of section 902 that might create obstacles to state fiscal needs?

63 See 47 CFR § 1.2.

64 See 47 CFR § 1.2(b).

65 See 47 CFR § 1.2(b).

66 Section 902(d)(4) (internal citations omitted). The committees, panels, and councils referred to in section 6205(a) of the Middle Class Tax Relief and Job Creation Act of 2012 are those established to assist FirstNet. See 47 U.S.C. § 1425.

67 47 U.S.C. § 615a-1(f)(4) (as amended); Section 902(c)(1)(C).

68 The ENHANCE 911 Act authorizes matching grants for eligible projects, required grant applicants to certify that no portion of 911 charges were obligated or expended for “any purpose other than the purposes for which such charges are designated or presented.” See ENHANCE 911 Act (codified at 47 U.S.C. § 942(c)(2)). The Middle Class Tax Relief and Job Creation Act of 2012 requires grant recipients that improperly obligated or expended designated 911 charges to return all granted funds to the 9-1-1 Implementation Coordination Office. Sec. 6503, § 158(c)(3), 126 Stat. at 239 (codified at 47 U.S.C. § 942(c)(3)).
IV. PROCEDURAL MATTERS

34. Initial Paperwork Reduction Act of 1995 Analysis. This Notice of Proposed Rulemaking may contain new or modified information collection(s) subject to the Paperwork Reduction Act of 1995. If the Commission adopts any new or modified information collection requirements, they will be submitted to the Office of Management and Budget (OMB) for review under section 3507(d) of the PRA. OMB, the general public, and other federal agencies are invited to comment on the new or modified information collection requirements contained in this proceeding. In addition, pursuant to the Small Business Paperwork Relief Act of 2002, we seek specific comment on how we might “further reduce the information collection burden for small business concerns with fewer than 25 employees.”

35. Regulatory Flexibility Act. The Regulatory Flexibility Act of 1980, as amended (RFA), requires that an agency prepare a regulatory flexibility analysis for notice and comment rulemakings, unless the agency certifies that “the rule will not, if promulgated, have a significant economic impact on a substantial number of small entities.” Accordingly, the Commission has prepared an Initial Regulatory Flexibility Analysis (IRFA) concerning potential rule and policy changes contained in this Notice of Proposed Rulemaking. The IRFA is contained in Appendix B.

36. Ex Parte Presentations—Permit-But-Disclose. This proceeding shall be treated as a “permit-but-disclose” proceeding in accordance with the Commission’s ex parte rules. Persons making ex parte presentations must file a copy of any written presentation or a memorandum summarizing any oral presentation within two business days after the presentation (unless a different deadline applicable to the Sunshine period applies). Persons making oral ex parte presentations are reminded that memoranda summarizing the presentation must (1) list all persons attending or otherwise participating in the meeting at which the ex parte was made, and (2) summarize all data presented and arguments made during the presentation. If the presentation consisted in whole or in part of the presentation of data or arguments already reflected in the presenter’s written comments, memoranda, or other filing in the proceeding, the presenter may provide citations to such data or arguments in his or her prior comments, memorandum, or other filings (specifying the relevant page and/or paragraph numbers where such data or arguments can be found) in lieu of summarizing them in the memorandum. Documents shown or given to Commission staff during ex parte meetings are deemed to be written ex parte presentations and must be filed consistent with section 1.1206(b) of the Commission’s rules. In proceedings governed by section 1.49(f) of the Commission’s rules or for which the Commission has made available a method of electronic filing, written ex parte presentations and memoranda summarizing oral ex parte presentations, and all attachments thereto, must be filed through the electronic comment filing system available for that proceeding, and must be filed in their native format (e.g., .doc, .xml, .ppt, searchable.pdf). Participants in this proceeding should familiarize themselves with the Commission’s ex parte rules.

37. Comment Filing Instructions. Pursuant to sections 1.415 and 1.419 of the Commission’s rules, 47 CFR §§ 1.415, 1.419, interested parties may file comments on or before the dates indicated on the first page of this document in CG Docket No. 02-278. Comments may be filed using the Commission’s Electronic Comment Filing System (ECFS).

70 Public Law 107-198.
73 Id. § 605(b).
74 47 CFR §§ 1.1200 et seq.
Electronic Filers: Comments may be filed electronically using the Internet by accessing the ECFS: [http://apps.fcc.gov/ecfs/](http://apps.fcc.gov/ecfs/).

Paper Filers: Parties who choose to file by paper must file an original and one copy of each filing. If more than one docket or rulemaking number appears in the caption of this proceeding, filers must submit two additional copies for each additional docket or rulemaking number.

- Filings can be sent by commercial overnight courier, or by first-class or overnight U.S. Postal Service mail. All filings must be addressed to the Commission’s Secretary, Office of the Secretary, Federal Communications Commission.
- Commercial overnight mail (other than U.S. Postal Service Express Mail and Priority Mail) must be sent to 9050 Junction Drive, Annapolis Junction, MD 20701.
- U.S. Postal Service first-class, Express, and Priority mail must be addressed to 45 L Street, NE, Washington DC 20554.

38. **People with Disabilities.** To request materials in accessible formats for people with disabilities (Braille, large print, electronic files, audio format), send an e-mail to fcc504@fcc.gov or call the Consumer and Governmental Affairs Bureau at 202-418-0530 (voice).

39. **Additional Information.** For additional information on this proceeding, contact Brenda Boykin, Brena.Boykin@fcc.gov or 202-418-2062, or John A. Evanoff, John.Evanoff@fcc.gov or 202-418-0848 of the Public Safety and Homeland Security Bureau, Consumer Policy Division.

V. ORDERING CLAUSES

40. Accordingly, IT IS ORDERED, pursuant to Sections 1, 4(i), 4(j), 4(o), 201(b), 251(e), 301, 303(b), and 303(r) of the Communications Act of 1934, as amended, 47 U.S.C. §§ 151, 154(i), 154(j), 154(o), 201(b), 251(c), 301, 303(b), and 303(r), the Don’t Break Up The T-Band Act of 2020, Section 902 of Title IX, Division FF of the Consolidated Appropriations Act, 2021, Pub. L. No. 116-260, Section 101 of the New and Emerging Technologies 911 Improvement Act of 2008, Pub. L. No. 110-283, 47 U.S.C. § 615a-1, and the Wireless Communications and Public Safety Act of 1999, Pub. L. No. 106-81, 47 U.S.C. §§ 615 note, 615, 615a, and 615b, that this Notice of Proposed Rulemaking is hereby ADOPTED.

41. IT IS FURTHER ORDERED that, pursuant to applicable procedures set forth in sections 1.415 and 1.419 of the Commission’s Rules, 47 CFR §§ 1.415, 1.419, interested parties may file comments on the Notice of Proposed Rulemaking on or before 20 days after publication in the Federal Register, and reply comments on or before 30 days after publication in the Federal Register.

42. IT IS FURTHER ORDERED that the Commission’s Consumer and Governmental Affairs Bureau, Reference Information Center, SHALL SEND a copy of this Notice of Proposed Rulemaking, including the Initial Regulatory Flexibility Analysis, to the Chief Counsel for Advocacy of the Small Business Administration.
FEDERAL COMMUNICATIONS COMMISSION

Marlene H. Dortch
Secretary
APPENDIX A

Proposed Rules

The Federal Communications Commission proposes to amend part 9 of Title 47 of the Code of Federal Regulations as follows:

PART 9 – 911 Requirements

1. Revise the authority citation for part 9 to read as follows: [TO BE INSERTED PRIOR TO FEDERAL REGISTER PUBLICATION]

2. Amend part 9 by adding subpart I to read as follows:

Subpart I – 911 Fees

Sec.
9.21 Applicability.
9.22 Definitions.
9.23 Designation of acceptable obligations or expenditures.
9.24 Petition regarding additional purposes and functions.
9.25 Participation in annual fee report data collection.
9.26 Advisory committee participation.

§ 9.21 Applicability.

The rules in this subpart I apply to States or taxing jurisdictions that collect 911 fees or charges (as defined in this subpart) from commercial mobile services, IP-enabled voice services, and other emergency communications services.

§ 9.22 Definitions.

For purposes of this subpart I, the terms below have the following meaning:

911 fee or charge. A fee or charge applicable to commercial mobile services, IP-enabled voice services, or other emergency communications services specifically designated by a State or taxing jurisdiction for the support or implementation of 911 services. A 911 fee or charge shall also include a fee or charge designated for the support of public safety, emergency services, or similar purposes if the purposes or allowable uses of such fee or charge include the support or implementation of 911 services.

Diversion. The obligation or expenditure of a 911 fee or charge for a purpose or function other than the purposes and functions designated by the Commission as acceptable pursuant to § 9.23. Diversion also includes distribution of 911 fees to a political subdivision that obligates or expends such fees for a purpose or function other than those designated as acceptable by the Commission pursuant to § 9.23.

Other emergency communications services. The provision of emergency information to a public safety answering point via wire or radio communications, and may include 911 and E911 service.

State. Any of the several States, the District of Columbia, or any territory or possession of the United States.

State or taxing jurisdiction. A State, political subdivision thereof, Indian Tribe, or village or regional corporation serving a region established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.).
§ 9.23 Designation of acceptable obligations or expenditures.

(a) Acceptable purposes and functions for the obligation or expenditure of 911 fees or charges are limited to:

(1) Support and implementation of 911 services provided by or in the State or taxing jurisdiction imposing the fee or charge; and

(2) Operational expenses of public safety answering points within such State or taxing jurisdiction.

(b) Examples of acceptable purposes and functions include, but are not limited to, the following, provided that the State or taxing jurisdiction can adequately document that it has obligated or spent the fees or charges in question for these purposes and functions:

(1) PSAP operating costs, including lease, purchase, maintenance, and upgrade of customer premises equipment (CPE) (hardware and software), computer aided dispatch (CAD) equipment (hardware and software), and the PSAP building/facility;

(2) PSAP personnel costs, including telecommunicators’ salaries and training;

(3) PSAP administration, including costs for administration of 911 services and travel expenses associated with the provision of 911 services;

(4) Integrating public safety/first responder dispatch and 911 systems, including lease, purchase, maintenance, and upgrade of CAD hardware and software to support integrated 911 and public safety dispatch operations;

(5) Providing for the interoperability of 911 systems with one another and with public safety/first responder radio systems.

(c) Examples of purposes and functions that are not acceptable for the obligation or expenditure of 911 fees or charges include, but are not limited to, the following:

(1) Transfer of 911 fees into a State or other jurisdiction’s general fund or other fund for non-911 purposes;

(2) Equipment or infrastructure for constructing or expanding non-public safety communications networks (e.g., commercial cellular networks);

(3) Equipment or infrastructure for law enforcement, firefighters, and other public safety/first responder entities, including public safety radio equipment and infrastructure, that does not have a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders.

(d) If a State or taxing jurisdiction collects fees or charges designated for “public safety,” “emergency services,” or similar purposes that include the support or implementation of 911 services, the obligation or expenditure of such fees or charges shall not constitute diversion provided that the State or taxing jurisdiction:

(1) Specifies the amount or percentage of such fees or charges that is dedicated to 911 services;

(2) Ensures that the 911 portion of such fees or charges is segregated and not commingled with any other funds; and

(3) Obligates or expends the 911 portion of such fees or charges for acceptable purposes and functions as defined under this section.

§ 9.24 Petition regarding additional purposes and functions.
(a) A State or taxing jurisdiction may petition the Commission for a determination that an obligation or expenditure of 911 fees or charges for a purpose or function other than the purposes or functions designated as acceptable in § 9.23 should be treated as an acceptable purpose or function. Such a petition must meet the requirements applicable to a petition for declaratory ruling under § 1.2 of this chapter.

(b) The Commission shall grant the petition if the State or taxing jurisdiction provides sufficient documentation to demonstrate that the purpose or function:

1. supports public safety answering point functions or operations, or
2. has a direct impact on the ability of a public safety answering point to:
   (i) receive or respond to 911 calls, or
   (ii) dispatch emergency responders.

§ 9.25 Participation in annual fee report data collection.

If a State or taxing jurisdiction receives a grant under section 158 of the National Telecommunications and Information Administration Organization Act (47 U.S.C. 942) after December 27, 2020, such State or taxing jurisdiction shall, as a condition of receiving such grant, provide the information requested by the Commission to prepare the report required under section 6(f)(2) of the Wireless Communications and Public Safety Act of 1999 (47 U.S.C. 615a–1(f)(2)).

§ 9.26 Advisory committee participation.

Notwithstanding any other provision of law, any State or taxing jurisdiction identified by the Commission in the report required under section 6(f)(2) of the Wireless Communications and Public Safety Act of 1999 (47 U.S.C. 615a–1(f)(2)) as engaging in diversion of 911 fees or charges shall be ineligible to participate or send a representative to serve on any committee, panel, or council established under section 6205(a) of the Middle Class Tax Relief and Job Creation Act of 2012 (47 U.S.C. 1425(a)) or any advisory committee established by the Commission.
APPENDIX B

Initial Regulatory Flexibility Analysis

1. As required by the Regulatory Flexibility Act of 1980, as amended (RFA), the Commission has prepared this Initial Regulatory Flexibility Analysis (IRFA) of the possible significant economic impact on a substantial number of small entities by the policies and rules proposed in the Notice of Proposed Rulemaking (NPRM). Written public comments are requested on this IRFA. Comments must be identified as responses to the IRFA and must be filed by the deadlines for comments provided on the first page of the NPRM. The Commission will send a copy of the NPRM, including this IRFA, to the Chief Counsel for Advocacy of the Small Business Administration (SBA). In addition, the NPRM and IRFA (or summaries thereof) will be published in the Federal Register.

A. Need for, and Objectives of, the Proposed Rules

2. The NPRM proposes and seeks comment on ways to implement section 902 of the Consolidated Appropriations Act of 2021. On December 27, 2020, the President signed the Don’t Break Up The T-Band Act of 2020, which is Division FF, Title IX, Section 902 of the Consolidated Appropriations Act, 2021 (Pub. L. No. 116-260). Section 902 directs the Commission to issue final rules 180 days after enactment on December 27, 2020 designating acceptable purposes and functions for the obligation or expenditure of 911 fees by states and taxing jurisdictions. Section 902 also provides that the use of 911 fees for any purpose or function other than those designated by the Commission constitutes 911 fee diversion.

3. To implement section 902 of the Act, the NPRM seeks comment on the Commission’s proposals to amend part 9 of the rules to establish a new subpart I regarding “911 Fees.” Section 902 defines several terms which the NPRM proposes to codify these definitions in the new subpart I of the rules. In addition, section 902 directs the Commission to issue final rules designating purposes and functions for which the obligation or expenditure of 911 fees is acceptable. It also provides that the purposes and functions identified by the Commission as acceptable “shall be limited to the support and implementation of 9-1-1 services provided by or in the State or taxing jurisdiction imposing the fee or charge and operational expenses of public safety answering points within such State or taxing jurisdiction.” The NPRM seeks comments on proposals to develop an illustrative, non-exhaustive list of permissible and non-permissible uses for purposes of section 902.

4. Section 902 provides that a state or taxing jurisdiction may petition the FCC for a determination that an obligation or expenditure of a 911 fee for a purpose or function other than those deemed acceptable by the Commission should be treated as an acceptable expenditure. Per section 902, the petition must demonstrate that the expenditure: (1) supports public safety answering point (PSAP) functions or operations, or (2) has a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders. If the Commission finds that a state or taxing jurisdiction has provided sufficient documentation to make this demonstration, the statute provides that it shall grant the petition. In addition, the Commission seeks comment on amending the rules to require that if a state or

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3 Id.

taxing jurisdiction receives a grant under section 158 of the National Telecommunications and Information Administration Organization Act (47 U.S.C. 942) after December 27, 2020, such state or taxing jurisdiction shall, as a condition of receiving such grant, provide the information requested by the Commission to prepare the annual report to Congress required by the NET 911 Act. The NPRM seeks comment on proposals to codify these provisions in subpart I of part 9 of the rules.

B. Legal Basis

5. This action was taken pursuant to Sections 1, 4(i), 4(j), 4(o), 201(b), 251(e), 301, 303(b), and 303(r) of the Communications Act of 1934, as amended, 47 U.S.C. §§ 151, 154(i), 154(j), 154(o), 201(b), 251(e), 301, 303(b), and 303(r), the Don’t Break Up The T-Band Act of 2020, Section 902 of Title IX, Division FF of the Consolidated Appropriations Act, 2021, Pub. L. No. 116-260, Section 101 of the New and Emerging Technologies 911 Improvement Act of 2008, Pub. L. No. 110-283, 47 U.S.C. § 615a-1, and the Wireless Communications and Public Safety Act of 1999, Pub. L. No. 106-81, 47 U.S.C. §§ 615 note, 615, 615a, and 615b.

C. Description and Estimate of the Number of Small Entities to Which the Proposed Rules Will Apply

6. The RFA directs agencies to provide a description of and, where feasible, an estimate of the number of small entities that may be affected by the proposed rules, if adopted. The RFA generally defines the term “small entity” as having the same meaning as the terms “small business,” “small organization,” and “small governmental jurisdiction.” In addition, the term “small business” has the same meaning as the term “small-business concern” under the Small Business Act. A “small-business concern” is one which: (1) is independently owned and operated; (2) is not dominant in its field of operation; and (3) satisfies any additional criteria established by the SBA.

7. Small Businesses, Small Organizations, Small Governmental Jurisdictions. Our actions, over time, may affect small entities that are not easily categorized at present. We therefore describe here, at the outset, three broad groups of small entities that could be directly affected herein. First, while there are industry-specific size standards for small businesses that are used in the regulatory flexibility analysis, according to data from the Small Business Administration’s (SBA’s) Office of Advocacy, in general a small business is an independent business having fewer than 500 employees. These types of small businesses represent 99.9% of all businesses in the United States, which translates to 30.7 million businesses.

8. Next, the type of small entity described as a “small organization” is generally “any not-for-profit enterprise which is independently owned and operated and is not dominant in its field.” The

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6 See id. § 601(6).
7 See id. § 601(3) (incorporating by reference the definition of “small-business concern” in the Small Business Act, 15 U.S.C. § 632). Pursuant to 5 U.S.C. § 601(3), the statutory definition of a small business applies “unless an agency, after consultation with the Office of Advocacy of the Small Business Administration and after opportunity for public comment, establishes one or more definitions of such term which are appropriate to the activities of the agency and publishes such definition(s) in the Federal Register.”
11 Id.
Internal Revenue Service (IRS) uses a revenue benchmark of $50,000 or less to delineate its annual electronic filing requirements for small exempt organizations. Nationwide, for tax year 2018, there were approximately 571,709 small exempt organizations in the U.S. reporting revenues of $50,000 or less according to the registration and tax data for exempt organizations available from the IRS.

9. Finally, the small entity described as a “small governmental jurisdiction” is defined generally as “governments of cities, counties, towns, townships, villages, school districts, or special districts, with a population of less than fifty thousand.” U.S. Census Bureau data from the 2017 Census of Governments indicate that there were 90,075 local governmental jurisdictions consisting of general purpose governments and special purpose governments in the United States. Of this number there were 36,931 general purpose governments (county, municipal and town or township) with populations of less than 50,000, and 12,040 special purpose governments - independent school districts with enrollment populations of less than 50,000. Accordingly, based on the 2017 U.S. Census of Governments data, we

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13 The IRS benchmark is similar to the population of less than 50,000 benchmark in 5 U.S.C § 601(5) that is used to define a small governmental jurisdiction. Therefore, the IRS benchmark has been used to estimate the number small organizations in this small entity description. See Annual Electronic Filing Requirement for Small Exempt Organizations — Form 990-N (e-Postcard), "Who must file," https://www.irs.gov/charities-non-profits/annual-electronic-filing-requirement-for-small-exempt-organizations-form-990-n-e-postcard. We note that the IRS data does not provide information on whether a small exempt organization is independently owned and operated or dominant in its field.

14 See Exempt Organizations Business Master File Extract (EO BMF), "CSV Files by Region," https://www.irs.gov/charities-non-profits/exempt-organizations-business-master-file-extract-EO-BMF. The IRS Exempt Organization Business Master File (EO BMF) Extract provides information on all registered tax-exempt/non-profit organizations. The data utilized for purposes of this description was extracted from the IRS EO BMF data for Region 1-Northeast Area (76,886), Region 2-Mid-Atlantic and Great Lakes Areas (221,121), and Region 3-Gulf Coast and Pacific Coast Areas (273,702) which includes the continental U.S., Alaska, and Hawaii. This data does not include information for Puerto Rico.


16 See 13 U.S.C. § 161. The Census of Governments survey is conducted every five (5) years compiling data for years ending with “2” and “7”. See also Census of Governments, https://www.census.gov/programs-surveys/cog/about.html.

17 See U.S. Census Bureau, 2017 Census of Governments – Organization Table 2. Local Governments by Type and State: 2017 [CG1700ORG02]. https://www.census.gov/data/tables/2017/econ/gus/2017-governments.html. Local governmental jurisdictions are made up of general purpose governments (county, municipal and town or township) and special purpose governments (special districts and independent school districts). See also Table 2. CG1700ORG02 Table Notes_Local Governments by Type and State_2017.

18 See id. at Table 5. County Governments by Population-Size Group and State: 2017 [CG1700ORG05]. https://www.census.gov/data/tables/2017/econ/gus/2017-governments.html. There were 2,105 county governments with populations less than 50,000. This category does not include subcounty (municipal and township) governments.

19 See id. at Table 6. Subcounty General-Purpose Governments by Population-Size Group and State: 2017 [CG1700ORG06]. https://www.census.gov/data/tables/2017/econ/gus/2017-governments.html. There were 18,729 municipal and 16,097 town and township governments with populations less than 50,000.

20 See id. at Table 10. Elementary and Secondary School Systems by Enrollment-Size Group and State: 2017 [CG1700ORG10]. https://www.census.gov/data/tables/2017/econ/gus/2017-governments.html. There were 12,040 independent school districts with enrollment populations less than 50,000. See also Table 4. Special-Purpose Local Governments by State Census Years 1942 to 2017 [CG1700ORG04], CG1700ORG04 Table Notes_Special Purpose Local Governments by State_Census Years 1942 to 2017.

21 While the special purpose governments category also includes local special district governments, the 2017 Census of Governments data does not provide data aggregated based on population size for the special purpose governments (continued….)
estimate that at least 48,971 entities fall into the category of “small governmental jurisdictions.”

10. Wireless Telecommunications Carriers (except Satellite). This industry comprises establishments engaged in operating and maintaining switching and transmission facilities to provide communications via the airwaves. Establishments in this industry have spectrum licenses and provide services using that spectrum, such as cellular services, paging services, wireless internet access, and wireless video services. The appropriate size standard under SBA rules is that such a business is small if it has 1,500 or fewer employees. For this industry, U.S. Census Bureau data for 2012 show that there were 967 firms that operated for the entire year. Of this total, 955 firms employed fewer than 1,000 employees and 12 firms employed 1,000 employees or more. Thus, under this category and the associated size standard, the Commission estimates that the majority of Wireless Telecommunications Carriers (except Satellite) are small entities.

11. Wired Telecommunications Carriers. The U.S. Census Bureau defines this industry as “establishments primarily engaged in operating and/or providing access to transmission facilities and infrastructure that they own and/or lease for the transmission of voice, data, text, sound, and video using wired communications networks. Transmission facilities may be based on a single technology or a combination of technologies. Establishments in this industry use the wired telecommunications network facilities that they operate to provide a variety of services, such as wired telephony services, including VoIP services, wired (cable) audio and video programming distribution, and wired broadband internet services. By exception, establishments providing satellite television distribution services using facilities and infrastructure that they operate are included in this industry.” The SBA has developed a small business size standard for Wired Telecommunications Carriers, which consists of all such companies having 1,500 or fewer employees. U.S. Census Bureau data for 2012 show that there were 3,117 firms that operated that year. Of this total, 3,083 operated with fewer than 1,000 employees. Thus, under

(Continued from previous page)

22 This total is derived from the sum of the number of general purpose governments (county, municipal and town or township) with populations of less than 50,000 (36,931) and the number of special purpose governments - independent school districts with enrollment populations of less than 50,000 (12,040), from the 2017 Census of Governments - Organizations Tables 5, 6, and 10.


24 See 13 CFR § 121.201, NAICS Code 517312 (previously 517210).


26 Id. The available U.S. Census Bureau data does not provide a more precise estimate of the number of firms that meet the SBA size standard.


28 See 13 CFR § 121.201, NAICS Code 517311 (previously 517110).

this size standard, the majority of firms in this industry can be considered small.

12. **All Other Telecommunications.** The “All Other Telecommunications” category is comprised of establishments primarily engaged in providing specialized telecommunications services, such as satellite tracking, communications telemetry, and radar station operation. This industry also includes establishments primarily engaged in providing satellite terminal stations and associated facilities connected with one or more terrestrial systems and capable of transmitting telecommunications to, and receiving telecommunications from, satellite systems. Establishments providing Internet services or voice over Internet protocol (VoIP) services via client-supplied telecommunications connections are also included in this industry. The SBA has developed a small business size standard for “All Other Telecommunications,” which consists of all such firms with annual receipts of $35 million or less. For this category, U.S. Census Bureau data for 2012 show that there were 1,442 firms that operated for the entire year. Of those firms, a total of 1,400 had annual receipts less than $25 million, and 15 firms had annual receipts of $25 million to $49,999,999. Thus, the Commission estimates that the majority of “All Other Telecommunications” firms potentially affected by our action can be considered small.

D. **Description of Projected Reporting, Recordkeeping, and Other Compliance Requirements**

13. As indicated in Section A above, the NPRM seeks comment on proposed rules to implement section 902. The NPRM generally does not propose specific reporting or recordkeeping requirements. The NPRM does, however, propose and seek comment on codifying the requirement that states or taxing jurisdictions seeking a Commission determination on 911 fee diversion satisfy certain criteria established in section 902. In such cases, a state or taxing jurisdiction would have to show that a proposed expenditure: (1) supports PSAP functions or operations, or (2) has a direct impact on the ability of a PSAP to receive or respond to 911 calls or to dispatch emergency responders. If the Commission finds that a state or taxing jurisdiction has provided sufficient documentation to make this demonstration, the statute provides that it shall grant the petition. The information and documentation that a state or taxing jurisdiction will have to provide the Commission to make the requisite showing will impact the reporting and recordkeeping requirements for small entities and others subject to the requirements. The Commission proposes to apply the existing declaratory ruling procedures and obligations under section 1.2 of the Commission’s rules, which small entities may already be familiar with, to petitions for determination.

14. In addition, the NPRM seeks comment on amending the rules to require that if a state or taxing jurisdiction receives a grant under section 158 of the National Telecommunications and Information Administration Organization Act (47 U.S.C. 942) after December 27, 2020, such state or taxing jurisdiction shall, as a condition of receiving such grant, provide the information requested by the

(Continued from previous page)
Commission to prepare the report required under section 6(f)(2) of the Wireless Communications and Public Safety Act of 1999 (47 U.S.C. 615a–1(f)(2)). This proposed requirement is consistent with the requirements of Section 902. Under OMB Control No. 3060-1122, the Office of Management and Budget previously approved and renewed the information collection requirements associated with filing annual 911 fee reports as mandated by the NET 911 Act.

E. Steps Taken to Minimize the Significant Economic Impact on Small Entities, and Significant Alternatives Considered

15. The RFA requires an agency to describe any significant specifically small business alternatives that it has considered in reaching its proposed approach, which may include the following four alternatives (among others): (1) the establishment of differing compliance or reporting requirements or timetables that take into account the resources available to small entities; (2) the clarification, consolidation, or simplification of compliance or reporting requirements under the rule for small entities; (3) the use of performance, rather than design, standards; and (4) an exemption from coverage of the rule, or any part thereof, for small entities.37

16. In the NPRM, the Commission seeks to implement the provisions of section 902 that require Commission action by proposing changes to part 9 of our rules that would achieve the stated objectives of Congress’s mandated rules in a cost-effective manner that is not unduly burdensome to providers of emergency telecommunication services or to states and taxing jurisdictions. Using this approach, we inherently take steps to minimize any significant economic impact or burden for small entities. Specifically, we propose to adopt and codify the definitions in section 902 for certain terms relating to 911 fees and fee diversion in part 9 of our rules. For a few terms, we make limited modifications to the definition to avoid gaps and promote the apparent intent of the new statute.38 In addition to promoting consistency, we believe our proposals will help small entities and others who will be subject to section 902 and our rules avoid additional expenses for compliance which may have resulted if the Commission in the alternative proposed and adopted different definitions for certain terms in section 902 relating to 911 fees and fee diversion.

17. Similarly, to fulfill the Commission obligations associated with issuing rules designating acceptable purposes and functions, for consistency we propose to use language from section 902 codifying the statutory standard for which the obligation or expenditure of 911 fees or charges by any state or taxing jurisdiction is considered acceptable. We also propose to specify in the rules examples of both acceptable and unacceptable purposes and functions for the obligation or expenditure of 911 fees or charges. If adopted, identifying and including these examples in the Commission's rules should enable small entities to avoid unacceptable expenditures in violation of our rules, which could impact eligibility for federal grants and participation in federal advisory committees.

18. Finally, the Commission expects to more fully consider the economic impact on small entities, as identified in comments filed in response to the NPRM and this IRFA, in reaching its final conclusions and taking action in this proceeding.

F. Federal Rules that May Duplicate, Overlap, or Conflict with the Proposed Rules

19. None.

37 5 U.S.C. § 603(c)(1) - (4).

38 The definitions for the terms “911 fee or charge” and “Diversion” include modifications.
A BILL TO BE ENTITLED

AN ACT TO EXTEND THE DEADLINE FOR CERTIFICATION OF POLICE TELECOMMUNICATORS.

The General Assembly of North Carolina enacts:

SECTION 1. G.S. 17E-7(c2) reads as rewritten:

"(c2) Effective July 1, 2021, 2022, any person employed as a telecommunicator by a municipal police agency shall meet all the requirements for telecommunicators as set forth in this Chapter."

SECTION 2. This act is effective when it becomes law.
A BILL TO BE ENTITLED
AN ACT TO AUTHORIZE THE PROVISION OF TELECOMMUNICATIONS DEVICE LOCATION INFORMATION TO LAW ENFORCEMENT UNDER CERTAIN EMERGENCY CIRCUMSTANCES.

The General Assembly of North Carolina enacts:

SECTION 1. This act shall be known as the Kelsey Smith Act.

SECTION 2. Chapter 15A of the General Statutes is amended by adding a new Article to read:

"Article 16C. Provision of Wireless Call Location Data to Law Enforcement.

§ 15A-300.10. Provision of call location data by wireless service provider to law enforcement.

(a) The following definitions apply in this section:

(1) Call location data. – Global positioning system, triangulation, and per-call measurement data indicating the location of a telecommunications device. Call location data does not include the contents of any communication made using a telecommunications device.

(2) Imminent. – With respect to a risk of death or serious physical harm, means that the length of time necessary to comply with otherwise applicable provisions of law pertaining to obtaining authorization for electronic surveillance would, in the professional judgment of the law enforcement agency based upon generally accepted surveillance and investigation protocols, significantly reduce the chance of preventing death or serious physical harm.

(3) Public safety answering point. – Defined in G.S. 143B-1400.

(4) Wireless service provider. – A commercial mobile radio service provider, as defined in G.S. 143B-1400, including providers of subscription-based, in-vehicle security service.

(b) Upon request of the highest ranking person on duty for the law enforcement agency or a public safety answering point on behalf of a law enforcement agency, a wireless service provider shall provide call location data concerning the telecommunications device of a user to the requesting law enforcement agency or public safety answering point. The highest ranking person on duty for the law enforcement agency or public safety answering point may request data under this section only in an emergency situation that involves an imminent risk of death or
serious physical harm and may only request the amount of data reasonably necessary to prevent
the imminent death or serious physical harm.

(c) A wireless service provider may establish procedures for voluntary disclosure of call
location data.

(d) A civil action may not be brought in any court against any wireless service provider
or any other person for providing call location data if the provider acted in good-faith reliance
upon the representations of the law enforcement agency or public safety answering point and as
required by this section.

(e) All wireless service providers registered to do business in the State shall submit
emergency contact information to the State Bureau of Investigation in order to facilitate requests
from law enforcement agencies for call location data. This information must be submitted
annually by June 15 or immediately upon any change in emergency contact information.

(f) The State Bureau of Investigation shall maintain a database containing emergency
contact information for all wireless service providers registered to do business in the State and
shall make the information readily available upon request to all public safety answering points
located in the State."

SECTION 3. This act becomes effective July 1, 2021.
Next Gen 9-1-1 enables NC to support the 21st century needs of its citizens

Removes single points of failure in localities statewide...
   Geographically redundant call processing with redundant and diverse network links
   All 911 calls delivered via a dedicated NG911 network that is national in scope.

Modernizes 911 call delivery by replacing legacy analog circuits with a digital statewide IP network...
   Voice and text calls are delivered over the same private IP network connections to all 911 centers.
   Provides future capability for all forms of communications media.

Automatically detects the need for alternate call routing...
   ESInet (Emergency Services IP network) can re-represent an active 9-1-1 call to alternate PSAPs
   without losing the caller or the caller’s location information based on PSAP defined rules.

Routes calls using geographic information and/or tabular addresses...
   PSAPs can define routing rules for 9-1-1 calls/text by drawing geographical boundaries on a map
   (requires GIS/Call Handling equipment compatible with the ESInet)

Provides robust and flexible policy-based call delivery functions...
   ESInet PSAPs can automatically failover and overflow calls based conditional call processing rules (e.g.,
   time of day, caller wait time, etc.) for voice or text calls.
   Legacy PSAP failover requires manual intervention that takes time and special expertise.

Establishes an IP network foundation for future advanced capabilities...
   Supports receiving critical incident awareness info e.g., video transmitting to first responders.
   Secure access to public safety apps via state-of-the-art cyber-security design and procedures

Major NG9-1-1 Program Areas

ESInet Migration
   Key Consideration is 911 as a logic based, IP enabled managed service statewide

Hosted Call Handling Migration
   Key Considerations are statewide commonality; no PSAP personnel support required; full lifecycle management

NMAC Support Model Migration
   Key Consideration is high value 24/7 technical support with links to the State EOC and all Service Providers

Geo-Spatial (GIS) Call Routing Migration
   Key Consideration is very accurate caller location regardless of user device, terrain, or borders

Cyber Security Assessments
   Key Consideration is analysis of PSAP network environments conducive to NG911 cyber health

NG9-1-1 Glossary of Terms

PSAP: Public Safety Answering Point. The designated facility that accepts all 911 emergency calls in
specific local, county, or state jurisdiction.

ESInet: Emergency Services IP Network – The IP network that connects and routes calls from citizens
to PSAPs.

GIS: Geographic Information Systems are the graphic representation of address points, road
centerlines, natural, and man-made structures in a specifically defined geographic area.

i3: A National standard for accurately and consistently representing GIS data in a manner suitable for
routong 911 calls to the appropriate PSAP based on the caller’s physical location.
NC 911 Board NG9-1-1 Migration Process

NG9-1-1 Call Handling Solution Architecture

NC NG911: Speedy call delivery with caller location and media
Network Monitoring and Assistance Center (NMAC)  
855-662-2911

911NMAC.Admin@NC.GOV. Staffed 24/7 365 days.

Stated Objectives from the NG911 plan

The NMAC will perform following broad functions.

1. The NMAC will provide a Network Operations Center (NOC) function overseeing the network and service performance of the ESI.net and NG91-1 functional elements contracted to provide services on the ESI.net.

2. The NMAC will provide direct support serving in the role of a Help Desk resolving technical issues in or affecting the PSAPs.

3. The NMAC will provide a Security Operations Center (SOC) function.

4. The NMAC will be the single point of contact for all day-to-day vendor provided NG9-1-1 core services, functions, solutions and systems contracted by the NC 911 Board.

Types of issues the NMAC can handle.

- ANI/ALI issues
- Calls not completing.
- Emergency Rerouting of 911 ESINET calls
- Equipment replacement (hosted PSAPs).
- ECATs reporting and issues.
- CPE Vendor follow-up
- Connectivity Issues
- Calls misrouting.
- Disconnection errors

Types of questions the NMAC will ask:

- Name and Phone number
- Email address
- Nature of the problem
- Do you have call examples to send us?
- What time did the problem occur?
- Is it focused on one station or all stations?
- Is the problem consistent or intermittent?
WA 911 Jobs

In less than two weeks, we created an ad hoc marketing plan and hired a producer to create a commercial to air before and during the ABC television show Emergency Call. Emergency Call is a niche show about 911 calls and dispatchers and had a positive tone. We also built a website in-house.

The goal was to show people that telecommunicators is a viable career option.

Budget Breakdown

Total Budget: $11,349 plus staff time.

- Website domain purchase was $599.
- For $7,750, we aired 10 commercials on KOMO, including four during Emergency Call, the rest on the local news.
- For $2,000, we aired 15 spots on KXLY in Spokane, including six during Emergency Call, the rest on the local news.
- For $1,000, we aired 15 spots on KAPP & KVEW in Yakima/Pullman, including one during Emergency Call, one during the season premiere of the Good Doctor and the rest on local news.

Domain Names

For the next 10 years, we now own wa911jobs.com and 911wajobs.com and wa911jobs.net.

These websites redirect to https://mil.wa.gov/wa911jobs, which is controlled by our in-house content management system. In the future, if 911 wishes to create and host a fancy website and pay the assorted hosting fees involved, that could be arranged and the redirect could be discontinued.

Note: Internal state networks may have problems with the redirect as of Nov. 6, 2020 due to WA Tech security measures in place. Outside networks (including state phones) work fine. State IT is aware of the issue and has asked WA Tech to address it.
Analytics

The tips you see from our Google Analytics show when the commercials were on the air. As you can see, some people continued to visit the website even on days when the commercial wasn’t airing.

The website was visited 1,189 times, including 1,026 unique visitors. People spent an average of 3 minutes, 52 seconds on the website. 84 percent of those who visited came just to visit the website. Others clicked on other links and explored mil.wa.gov a bit.

Most folks came from Seattle, Bellingham, Tacoma, Quincy, Olympia, Pasco, Aberdeen, Spokane.

On Oct. 20, we shared the video on Facebook here and it received 3,900 views, 56 reactions and five comments. Our analytics show 141 people visited the website after seeing it on Facebook.

On Twitter, the post was seen by 4,207 people, resulting in 77 people interacting with it.

On YouTube, the video has been seen by 76 people as of Nov. 6, 2020.

The Commercial

We had tons of folks send us videos and pictures. For purposes of the producer, the easiest footage used was those without watermarks. So, if someone sent us video and wondered why it wasn’t used, that’s why. As a result, we mainly used video from CenCom in Kitsap County, Benton County Emergency
Services, Spokane Regional Emergency Communications as well as footage WA EMD took at South
Sound 911 in Tacoma.

We developed a draft script based on talking points provided by the wider E911 community, which then
received edits from many different people. The commercial had to have a very quick turnaround so final
approval for it was received by public education committee leadership and state E911 Coordination
Office leadership.

The commercial is available here on YouTube and for download by request.

**AAR for the Future**

- State WA EMD PIO will provide training to state E911 Leadership so website adjustments can be
  made easier.
- We will look to see where else we could utilize this commercial, either on television, online ads
  or Facebook advertising.
- If we air on KOMO again, they have said they can provide alternative versions of the
  commercial. For instance, they could edit it to be 15 seconds long, which would be cheaper to
  air.
## Contract Agreement Between:

### KOMO

140 4th Ave N  
Seattle, WA 98109  
(206) 404-4000  
www.komonews.com

And:

### Washington Military Department-Camp Murray

1 MILITIA DR  
CAMP MURRAY, WA 98430-0001  
US

---

### CONTRACT

**Contract / Revision**  
1479881 / 

**Advertiser**  
Washington Military Department-Camp Murray

**Property**  
Account Executive  
Rich Horner  
Local-Seattle-Bill

**Billing Cycle**  
Billing Calendar  
Cash/Trade  
EOM

**Demographic**  
Adults 25-54

**Agency Ref**  
10038033

---

**Start Date**  
10/12/20  
**End Date**  
11/01/20  
**Description**  
MONDAY 10-11P

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**Totals**  
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(* Line Transactions:  N = New, E = Edited, D = Deleted)

Net 30 Payment is due within 30 days from invoice date. Station owned or provided services by the Sinclair Broadcast Group.

Warranty-We warrant the above broadcasts were made according to the official station log. Please note that we do not notarize invoices since the purpose of placing a notary seal on a document is to guaranty the authenticity of an individual’s signature and not to certify the accuracy of commercial aired.

powered by WideOrbit
Signature: ___________________________  Date: ___________________________

The parties intend for the Standard Advertiser Terms and Conditions ("Terms") located at ...http://sbgi.net/?p=1224 ... to be part of their agreement, and the sale of advertising is expressly subject to said Terms.
**INVOICE**

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**Billing Address:**
WA State Military Dept., EMD/E911  
Attention: Nicci Kowalski  
20 Aviation Drive  
Camp Murray, WA 98430

**Send Payment To:**
KXLY  
Spokane Television INC. DBA KXLY-TV  
PO Box 749756  
Los Angeles, CA 90074-9756

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INVOICE

Spokane Television INC.DBA KXLY-TV
PO Box 749756
Los Angeles, CA 90074-9756

Send Payment To:
KXLY

Invoice # 232127-1
Invoice Date 11/01/20
Invoice Month November 2020
Invoice Period 10/12/20 - 11/01/20
Adviser Washington Military Department
Product 911 Call Center Recruitment
Estimate #

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Total Spots 15

Payment Terms 30 Days

OK to Pay

PI 7S681

Vendor # SWV0096475-01

Approved $3,000.00

Approved by ________________________

Net Total $3,000.00